

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


#6

In re the application of: John Frederick KEMP  
 International Application No.: PCT/GB01/01012  
 International Filing Date: February 28, 2001  
 U.S. Serial No.: 10/030237 (CONF. NO. 5866)  
 Mailed: October 25, 2001  
 For: APPARATUS FOR DERIVING ENERGY  
 FROM WAVES  
 Attorney Docket No.: **HCM-019US**

Group Art Unit:

Examiner:

Commissioner for Patents  
**BOX PCT**  
 Washington, D.C. 20231

Certificate of Express Mailing	
I hereby certify that the form, identified herein, is being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. <b>EL 950187149 US</b> , under 37 CFR 1.10, on the date indicated below and is addressed to the Commissioner for Patents, Box PCT, Washington, D.C. 20231	
May 23, 2002 Date	By:  Viriato G. Cardoso

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER  
 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

Dear Sir or Madam:

Responsive to the *Notification of Missing Requirements Under 35 U.S.C. 371* dated March 27, 2002 in connection with the above-referenced patent application, an executed *Declaration, Petition and Power of Attorney* is submitted herewith. A copy of the 371 Formalities Letter is also enclosed.

05/30/2002 LLANDGRA 00000040 120080 10030237

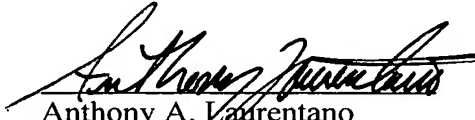
01 FC:957	27.00 CH
62 FC:959	140.00 CH

In response to the request for additional claim fees, we are herewith filing a Second Preliminary Amendment which eliminates the remaining multiply dependent claims in this patent application. Therefore, it is our belief that no additional claim fees are due you.

Please charge any additional fees or credit any overpayments associated with this correspondence to Deposit Account No. 12-0080. A duplicate copy of this paper is enclosed. If there are any problems, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

A handwritten signature in black ink, appearing to read "Anthony A. Laurentano", is written over a horizontal line.

Anthony A. Laurentano  
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(617) 227-7400

Date: May 23, 2002

In re the application of J. Frederick KEMP

Case No. HCM-019US

Serial No. 10/030237 (Conf. No. 5866)

Filed: February 28, 2001 (International Filing Date)

For: APPARATUS FOR DERIVING ENERGY FROM WAVES

COMMISSIONER FOR PATENTS

BOX PCT

Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified application are the following:

- ☒ Response to Notification of Missing Requirements under U.S.C. 371;
- ☒ Second Preliminary Amendment;
- ☒ executed Declaration, Petition and Power of Attorney;
- ☒ copy of Formalities Letter; and
- ☒ a return postcard.

The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		RATE	ADDIT. FEE
TOTAL	* 15	MINUS	** 20	= 0	x 9 =	\$0.00		x 18 =	\$0.00
INDEP.	* 1	MINUS	*** 3	= 0	x 42 =	\$0.00		x 84 =	\$0.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+140 =	\$0.00		+ 280 =	\$0.00
					TOTAL ADDIT. FEE	\$0.00	OR	TOTAL	\$0.00

- \* If the entry in Col 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \_\_\_\_\_ is enclosed for presentation of extra claims.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for .
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.
  - ☐ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
- ☒ Please charge any additional fees or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed. Applicants request any extensions of time necessary to respond.

Certificate of Express Mailing

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. EL 950187149 US, under 37 CFR 1.10, on the date indicated below and is addressed to the Commissioner for Patents, PCT PCT, Washington, DC 20231

Date 5/23/02  
Viriato G. Cardoso  
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LAHIVE & COCKFIELD, LLP  
 Attorneys at Law

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